

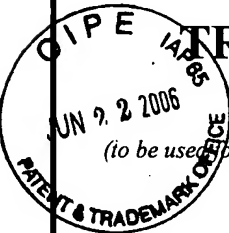
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 TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/617,292	
	Filing Date	September 24, 2003	
	First Named Inventor	Roland Buelow et al.	
	Group/Art Unit	1632	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission		Attorney Docket Number	39691-0012A

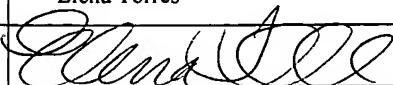
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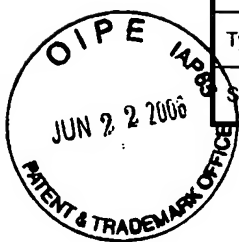
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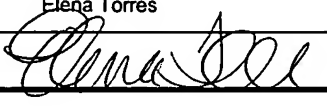
Firm or Individual name	Daphne Reddy, Reg. No. 53,507, HELLER EHRMAN LLP		
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PATENT

Attorney Docket No.: 39691-0012A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s): Roland Buelow et al.

Application No.: 10/617,292

Filed: September 24, 2003

Title: HUMANIZED IMMUNOGLOBULIN
PREPARATION

Art Unit: 1632

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. §1.97

Mail Stop: AMENDMENT
Commissioner for Patents
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Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each cited pending unpublished U.S. application, and all additional publications other than U.S. patents and U.S. patent application publications. 37 C.F.R. §§1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☒ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

- ☐ a certification as specified in §1.97(e) is provided below; or
- ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No.: 39691-0012A).

Respectfully submitted,

HELLER EHRMAN LLP

Dated: _____

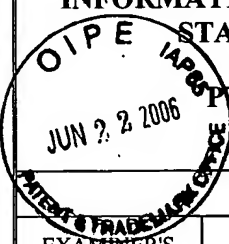
6/22/06

By: _____

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INFORMATION DISCLOSURE STATEMENT 		ATTY. DOCKET NO.		SERIAL NO.			
		39691-0012A		10/671,292			
		APPLICANT: Roland Buelow et al.					
		FILING DATE: 09/24/2003		GROUP: 1632			
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	92/03918	1992-03-19	WO			<input type="checkbox"/>	<input type="checkbox"/>
	02/12437	2002-02-14	WO			<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	Bruggemann, M et al., "A Repertoire of Monoclonal Antibodies with Human Heavy Chains from Transgenic Mice", Proceedings of the National Academy of Sciences of USA, National Academy of Science. Washington, US, vol. 86, no. 17; pp. 6709-6713 (1989) XP000068417.						
	Brueggeman, M et al., "Strategies for Expressing Human Antibody Repertoires in Transgenic Mice", Immunology Today, Elsevier Publications, Cambridge, GB, vol. 17, no. 8, pp.391-397 (1996) XP002067729.						
	Jakobovitz, A et al., "Analysis of Homozygous Mutant Chimeric Mice: Deletion of the Immunoglobulin Heavy-Chain Joining Region Blocks B-Cell development and Antibody Production", Proceedings of the National Academy of Science of USA, National Academy of Science. Washington, USA, vol. 90, no. 6, pp. 2551-2555 (1993) XP000673147.						
	Ros, F et al., "Sequence Analysis of 0.5 Mb of the Rabbit Germline Immunoglobulin Heavy Chain Locus" Gene: An International Journal on Genes and Genomes, Elsevier Science Publishers, Barking, GB, vol. 330, pp. 49-59 (2004) XP004503493.						
EXAMINER			DATE CONSIDERED				

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